



Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

## FEE TRANSMITTAL for FY 2005

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$)  
130

| Complete if Known    |            |
|----------------------|------------|
| Application Number   | 10/528,481 |
| Filing Date          | 03/21/05   |
| First Named Inventor | Xingda Tan |
| Examiner Name        | Unassigned |
| Art Unit             | Unassigned |
| Attorney Docket No.  | 034514-010 |

#5

### METHOD OF PAYMENT (check all that apply)

Check  Credit Card  Money Order  None  Other (please identify) : \_\_\_\_\_  
 Deposit Account Deposit Account Number: 50-1698 Deposit Account Name: THELEN REID & PREIST

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee  
 Charge any additional fee(s) or underpayments of fee(s)  Credit any overpayments

Under 37 CFR 1.16 and 1.17

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

### FEE CALCULATION

#### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

| <u>Application Type</u> | <u>FILING FEES</u> |                 | <u>SEARCH FEES</u> |                 | <u>EXAMINATION FEES</u> |                 |                       |
|-------------------------|--------------------|-----------------|--------------------|-----------------|-------------------------|-----------------|-----------------------|
|                         | <u>Fee (\$)</u>    | <u>Fee (\$)</u> | <u>Fee (\$)</u>    | <u>Fee (\$)</u> | <u>Fee (\$)</u>         | <u>Fee (\$)</u> | <u>Fees Paid (\$)</u> |
| Utility                 | 300                | 150             | 500                | 250             | 200                     | 100             | _____                 |
| Design                  | 200                | 100             | 100                | 50              | 130                     | 65              | _____                 |
| Plant                   | 200                | 100             | 300                | 150             | 160                     | 80              | _____                 |
| Reissue                 | 300                | 150             | 500                | 250             | 600                     | 300             | _____                 |
| Provisional             | 200                | 100             | 0                  | 0               | 0                       | 0               | _____                 |

#### 2. EXCESS CLAIM FEES

##### Fee Description

Each claim over 20 (including Reissues)

##### Small Entity

Fee (\$) Fee (\$)

50 25

Each independent claim over 30 (including Reissues)

200 100

Multiple dependent claims

360 180

##### Total Claims

##### Extra Claims

Fee (\$)

Fee Paid (\$)

##### Multiple Dependent Claims

Fee (\$) Fee Paid (\$)

\_\_\_\_\_ -20 or HP= \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims Extra Claims Fee (\$) Fee Paid (\$) 10/21/2005 GFREY1 00000133 501698 10528481

\_\_\_\_\_ - 3 or HP= \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_

HP = highest number of independent claims paid for, if greater than 3.

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#### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| <u>Total Sheets</u> | <u>Extra Sheets</u> | <u>Number of each additional 50 or fraction thereof</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> |
|---------------------|---------------------|---|-----------------|----------------------|
| _____               | _____               | _____ / 50 = _____ (round up to a whole number)         | _____ x         | _____                |

#### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge) : CODE 1051 - Surcharge - late filing fee or oath or declaration \$130

### SUBMITTED BY

|                   |                |                                      |        |           |              |
|-------------------|----------------|--------------------------------------|--------|-----------|--------------|
| Signature         |                | Registration No.<br>(Attorney/Agent) | 44,000 | Telephone | 408-292-5800 |
| Name (Print/Type) | Adrienne Yeung |                                      |        | Date      | 10/13/05     |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

RECD PCT/PTO 17 OCT 2005  
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MP 10-15-05



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
|-----------------------------|-----------------------|------------------|
| 10/528,481                  | Xingda Tan            | 034514-010       |

| LA. FILING DATE | PRIORITY DATE |
|-----------------|---------------|
| 09/22/2003      | 09/20/2002    |

RECEIVED

AUG 22 2005

Robert E Krebs  
TheLEN Reid & Priest  
P O Box 640640  
San Jose, CA 95164-0640

THELEN REID & PRIEST LLP

CONFIRMATION NO. 8324  
371 FORMALITIES LETTER  
\*OC000000016772749\*  
\*OC000000016772749\*

Date Mailed: 08/15/2005

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/21/2005
- English Translation of the IA filed on 03/21/2005
- Copy of the International Search Report filed on 03/21/2005
- Preliminary Amendments filed on 03/21/2005
- U.S. Basic National Fees filed on 03/21/2005
- Priority Documents filed on 03/21/2005
- Specification filed on 03/21/2005
- Claims filed on 03/21/2005
- Abstracts filed on 03/21/2005
- Drawings filed on 03/21/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.16(f) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Mail log \_\_\_\_\_ Date \_\_\_\_\_  
CPI \_\_\_\_\_ Date \_\_\_\_\_  
Excel \_\_\_\_\_ Date \_\_\_\_\_  
RECEIVED  
AUG 22 2005  
U.S. PATENT AND TRADEMARK OFFICE

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

**PART 1 - ATTORNEY/APPLICANT COPY**

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/528,481                  | PCT/CN03/00802                | 034514-010       |

FORM PCT/DO/EO/905 (371 Formalities Notice)